

## INTERNATIONAL CYANIDE MANAGEMENT INSTITUTE

## AUDITOR CREDENTIALS FORM

FACILITY AUDITED: INNER MONGOLIA CHENGXIN YONGAN CHEMICAL CO., LTD. CYANIDE PRODUCTION FACILITY

DATE: NOVEMBER 2022

## AUDITOR CREDENTIALS

Auditor: Hongtao Hu (Certified Safety Engineer)  
Auditor Certification Number: 150928280400648  
Registration Number: 31180190358  
Certifying Organisation: State Work Administration of Work Safety  
Telephone Number: +86 12350  
Address: Suit 10016-1011, 333 Nanjing Road (W), Shanghai, China  
Web Site Address: <http://rmocse.chinasafety.ac.cn/Query.aspx>

| Year  | Type of Facility, Type of Audit  | Country & State/Province |
|---|--|--------------------------|
| <b>Transportation - International Cyanide Code Certification Audits</b> |  |                          |
| 2022  | Lead Auditor for Hebei Chengxin Turkey e Supply Chain Re-certification                                       | Turkey                   |
| 2020  | Lead Auditor for Hebei Chengxin Global Marine Supply Chain Re-certification                                  | Global                   |
| 2019  | Auditor for Anqing Shuguang Supply, Sales and Transportation Co., Ltd. Transportation Re-certification Audit | Anhui Province, China    |
| 2019  | Auditor for Hebei Chengxin Transport China Supply Chain  | Hebei Province, China    |
| 2018  | Auditor for Hebei Chengxin Marine Supply Chain   | Global                   |
| 2014  | Auditor for Anhui Anqing Shuguang Chemical Co Ltd. Kazakhstan and Kyrgyzstan Supply Chain                    | Xinjiang, China          |
| <b>Production - International Cyanide Code Certification Audits</b>     |  |                          |
| 2022  | Lead Auditor for Guang'an Chengxin Production Initial Certification Audit                                    | Sichuan Province, China  |

| Year  | Type of Facility, Type of Audit   | Country & State/Province               |
|---|---|--|
| 2019  | Auditor for Anhui Anqing Shuguang Chemical Co Ltd. Production Recertification Audit   | Anhui Province, China                  |
| 2018  | Auditor Hebei Chengxin Co Ltd Production Recertification Audit  | Hebei Province, China                  |
| <b>Mining - International Cyanide Code Certification Audits</b> |   |  |
| -   | -   | -                                      |
| <b>Code Equivalent Audits &amp; HSE Assessments</b>             |   |  |
| 2022  | Lead auditor for Stora Enso's supplier in environment, health and safety (EHS) management system and labour management system.  | China                                  |
| 2021  | Lead auditor for two ports and transportation companies of Stora Enso' suppliers in environment, health and safety (EHS) management system and labour management system.  | China, Shanghai, Guangxi Province      |
| 2021  | Internal Lead auditor for Afton Chemical Plant in ISO 45001 and ISO 14001 management system   | China, Jiangsu Province, Suzhou City   |
| 2021  | Site EHS representative for Afton Chemical Plant in EHS management  |  |
| 2020  | Lead auditor for Kemira Chemical Plant in EHS management system.  | China, Shandong Province, Yanzhou City |
| 2020  | Lead auditor for the Sites of ExxonMobil, Novartis, Merck, Sanofi, Celestica, HCT, Lutra, CSM, Novasep in EHS management system.  | China                                  |
| 2020  | Safety Laws and Regulations training for the EHS team of Merck Asia; Emergency Response Management training for Novartis team in Changshu Plant   | China, Jiangsu Province, Changshu City |
| 2019  | Lead auditor for two coal mines of Stora Enso' suppliers in EHS management system and labour management systems.  | China Shanxi Province, Inner Mongolia  |
| 2019  | Lead auditor for nine sites of Metro, Mattel of EDD; Lead auditor for six sites of Stora Enso's supplier, Leybold Pump Manufactory, Mader Chemical, IPL Plastics Injecting Plant, Arctis and Novasep's supplier Pharmacy Plant of EHS compliance audit. | China                                  |
| 2019  | Safety Training system review and updated. Delivery of revised training package covering chemical management about fire safety, waste disposal, safety rules for usage, transportation and storages to Siemens and Delphi manufactory.                  | China                                  |
| 2018  | Lead auditor for three coal mines of Stora Enso's supplier in EHS management system and labour management systems.  | China Shanxi Province, Inner Mongolia  |

| Year | Type of Facility, Type of Audit   | Country & State/Province |
|------|---|--------------------------|
| 2018 | Lead auditor for eleven sites of Stora Enso's supplier, CSL BEHRING pharmacy, Knauf Manufactory, Exxonmobil Chemical, Sanofi Pharmacy and Infineum Chemical of EHS compliance audit.  | China                    |
| 2017 | Lead audit for twelve sites of Bosh manufactory, Autoneum manufactory, Hybio Pharmacy, Luxottica Manufactory, Imerys Chemical, Nemak Manufactory, Novartis Pharmacy, Magna Manufactory, Celestica Manufactory of EHS compliance audit | China<br>Japan           |
| 2017 | Safety training in chemical management covering fire safety, waste disposal and safety rules for usage, transportation and storage for Exxonmobil Chemical.   | Suzhou, China            |
| 2016 | Part of three persons EHS system audit team for Syngenta Chemical, China National Offshore Oil Corporation (CNOOC), Lanxess Chemical and Shell.   | China                    |
| 2016 | Safety training in chemical management including fire safety, waste disposal and safety rules for usage, transportation and storage for MAHLE Group   | Nanjing, China           |
| 2015 | Part of three persons EHS system audit team for CNOOC, Huawei, Lanxess Chemical.  | China                    |
| 2014 | Part of three persons auditor team for GE Manufactory, Shell and SPX Manufactory.   | China                    |

#### Cyanide and Operational Related Experience

Each auditor must have at least 3 years; at least one auditor must have at least 7 years experiences.


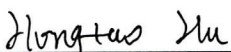
| Operation | Years | Relevant Position Titles | Types of Operations  |
|-----------|-------|--------------------------|--|
| Golder    | 5     | Safety Service Lead      | <p>Since re-join Golder in the position of Safety Service Leader in 2017, I have provided safety and environmental consulting services for chemical, pharmaceutical, oil and gas industries. My time with chemical industry has largely been spent with EHS compliance auditing, gap analysis and EHS systems improvement.</p> <p>I have also been involved with Code auditing (code equivalent audits and certification audits) of transportation and production within China in 2018 and 2019. I have also been the lead auditor for Hebei Chengxin Global Marine Supply Chain Re-certification audit. In addition to auditing, I have developed and delivered cyanide management <i>improvement case and training for production and transport operations</i>. I have spent at least 20% of my time each year working in issues related to handling hazardous materials including production, transport and use.</p> <p>The remainder of my time has been spent consulting to chemical and manufacturing industries on EHS management including hazardous materials management. The development of management procedures, systems and</p> |



| Operation  | Years | Relevant Position Titles              | Types of Operations   |
|--|-------|---------------------------------------|---|
|  |       |                                       | processes to facilitate the safe usage, transportation, storage and disposal of hazardous materials as well as chemical management training to clients.   |
| SOIL Environmental                                 | 2     | EHS manager                           | As the EHS Manager at SOIL Environmental I was responsible for the conduct of EHS audits, training, and the development, implementation and improvement of EHS systems.<br>In addition to the core functional role, I also conducted numerous EHS Manager consulting projects for chemical plants and manufactory industries which included Shell, CNOOC, Lanxess Chemical and Syngenta Chemical.   |
| Fosbel   | 1     | Health & Safety Manager (China)       | I was responsible for EHS management system maintenance, EHS culture promotion, supplier EHS management, formulated the training about Permit to Work Systems, Confined Space Entry, Atmospheric Testing, etc.  |
| Golder   | 2     | EHS Consultant                        | After joining Golder, I have provided EHS inspection, training and audit services for manufactory industry, chemical and oil and gas industries.<br>I have also been involved with Code auditing (code equivalent audits and certification audits) of supply chain in China and Kazakhstan in 2014.<br>I have spent at least 20% of my time each year working in issues related to handling hazardous materials including production and transport. |
| China Nuclear Industry Fifth Construction CO., Ltd | 3     | Health & Safety Supervisor (Projects) | Safety Supervisor for project health & safety management. I was the health & safety supervisor for several chemical plant construction projects which included Bayer expanding project and CNOOC Shell overhaul project as well as Sanmen nuclear construction project and wind generator installation project  |

#### Statement of No Conflict

I certify that I have not audited any component of this facility for which I was responsible for design or development; nor have I within the past year been an employee of the facility, its parent company, or associated affiliates. Excluding audits, I have not derived more than 30% of my income within the past 5 years from the facility, its parent, or associated affiliates. I have not participated in more than two consecutive Cyanide Code audits of this facility. I have participated in at least 3 health, safety, and/or environmental audits in the past 7 years and am familiar with standard audit procedures as well as with the protocols developed by the International Cyanide Management Institute for implementation of the Code.

| Signed  | Name       | Signature  | Date              |
|---|------------|--|-------------------|
|  | Hongtao Hu |  | November 16, 2022 |

Use additional pages if necessary

\*The lead auditor's signature must be certified by notarisation or equivalent.

## Certificate of Acknowledgment

Commonwealth of Virginia }  
  }  
City of Virginia Beach } ss.

The foregoing instrument was acknowledged before me this 16th day of November, 2022 by

Hongtao Hu

\_\_\_\_\_  
John K. Cole  
Electronic Notary Public  
Commonwealth of Virginia  
Notary Registration No. 7340920  
My Commission Expires: February 28, 2026

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**Electronic Notary Public, Commonwealth of Virginia**

Notary registration number: **7340920**

My commission expires: **February 28, 2026**

Description of document:

<sup>5</sup> page ICMI AUDITOR CREDENTIALS

(includes notary certificate).