

INTERNATIONAL CYANIDE MANAGEMENT INSTITUTE
AUDITOR CREDENTIALS FORM
FACILITY AUDITED: HEBEI CHENGXIN TRANSPORT GLOBAL MARINE SUPPLY CHAIN

DATE: AUGUST 4, 2020

AUDITOR CREDENTIALS

Auditor: Hongtao Hu (ISO14001-2015 Lead Auditor)
Auditor Certification Number: ENR-00334651
Certifying Organisation: International Register of Certified Auditors (IRCA), The Ministry of Emergency Management and the Ministry of Human Resources and Social Security
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Year	Type of Facility, Type of Audit	Country & State/Province
Transportation - International Cyanide Code Certification Audits		
2020	Lead Auditor for Hebei Chengxin Co., Ltd Global Marine Supply Chain	Hebei Province, China
2019	Auditor for Anqing Shuguang Supply, Sales and Transportation Co., Ltd. Transportation Recertification Audit	Anhui Province, China
2019	Auditor for Hebei Chengxin Transport China Supply Chain	Hebei Province, China
2018	Auditor for Hebei Chengxin Marine Supply Chain	Global
2014	Auditor for Anhui Anqing Shuguang Chemical Co Ltd. Kazakhstan and Kyrgyzstan Supply Chain	Xinjiang, China
Production - International Cyanide Code Certification Audits		
2019	Auditor for Anhui Anqing Shuguang Chemical Co Ltd. Production Recertification Audit	Anhui Province, China
2018	Auditor Hebei Chengxin Co Ltd Production Recertification Audit	Hebei Province, China
Mining - International Cyanide Code Certification Audits		
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Hongtao Hu

Year	Type of Facility, Type of Audit	Country & State/Province
Code Equivalent Audits & HSE Assessments		
2019	Lead auditor for two coal mines of Stora Enso in Environment, health and safety management system and labour management systems.	China Shanxi Province, Inner Mongolia
2019	Lead auditor for nine sites of Metro, Mattel of EDD; Lead auditor for six sites of Stora Enso, Leybold, Mader, IPL Plastics, Arctis and Novasep of EHS compliance audit.	China
2019	Safety Training system review and updated. Delivery of revised training package covering chemical management about fire safety, waste disposal, safety rules for usage, transportation and storages to Siemens and Delphi.	China
2018	Lead auditor for three coal mines of Stora Enso in Environment, health and safety management system and labour management systems.	China Shanxi Province, Inner Mongolia
2018	Lead auditor for eleven sites of Stora Enso, CSL BEHRING, Knauf, Exxonmobil, Sanofi and Infineum of EHS compliance audit.	China
2017	Lead audit for twelve sites of Bosh, Autoneum, Autoneum, Hybio, Luxottica, Imerys, Nemak, Novartis, Magna, Celestica of EHS compliance audit	China Japan
2017	Safety training in chemical management covering fire safety, waste disposal and safety rules for usage, transportation and storage for Exxonmobil.	Suzhou, China
2016	Part of three persons environment, health and safety system audit team for Syngenta, China National Offshore Oil Corporation (CNOOC), Lanxess and Shell.	China
2016	Safety training in chemical management including fire safety, waste disposal and safety rules for usage, transportation and storage for MAHLE Group	Nanjing, China
2015	Part of three persons environment, health and safety system audit team for CNOOC, Huawei, Lanxess.	China
2014	Part of three persons auditor team for GE, Shell and SPX.	China

Cyanide and Operational Related Experience

Each auditor must have at least 3 years; at least one auditor must have at least 7 years experiences.

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Operation	Years	Relevant Position Titles	Types of Operations
Golder	2.5	Safety Service Leader	<p>Since re-join Golder in the position of Safety Service Leader in 2017, I have provided safety and environmental consulting services for chemical and oil and gas industries. My time with chemical industry has largely been spent with compliance auditing, gap analysis and environment, health and safety systems improvement.</p> <p>I have also been involved with Code auditing (code equivalent audits and certification audits) of transportation and production within China in 2018 and 2019. In addition to auditing I have developed and delivered cyanide management improvement case and training for production and transport operations. I have spent at least 20% of my time each year working in issues related to handling hazardous materials including production, transport and use.</p> <p>The remainder of my time has been spent consulting to resource development industries on environment, health and safety management including hazardous materials management. The development of management procedures, systems and processes to facilitate the safe usage, transportation, storage and disposal of hazardous materials as well as chemical management training to clients.</p>
SOIL Environmental	2	EHS manager	<p>As the Environment, Health and Safety Manager at SOIL Environmental I was responsible for the conduct of environment, health and safety audits, training, and the development, implementation and improvement of environment, health and safety systems.</p> <p>In addition to the core functional role, I also conducted numerous Environment, Health and Safety Manager consulting projects for chemical plants and manufactory industries which included Shell, CNOOC, Lanxess, Syngenta and Apple.</p>
Fosbel	1	Health & Safety Manager (China)	<p>I was responsible for environment, health and safety management system maintenance, EHS culture promotion, supplier EHS management, formulated the training about Permit to Work Systems, Confined Space Entry, Atmospheric Testing, etc.</p>
Golder	2	EHS Consultant	<p>After joining Golder, I have provided environment, health and safety inspection, training and audit services for manufactory industry, chemical and oil and gas industries. I have also been involved with Code auditing (code equivalent audits and certification audits) of supply chain in China and Kazakhstan in 2014.</p> <p>I have spent at least 20% of my time each year working in issues related to handling hazardous materials including production and transport.</p>

Operation	Years	Relevant Position Titles	Types of Operations
China Nuclear Industry Fifth Construction CO., Ltd	3	Health & Safety Supervisor (Projects)	Safety Supervisor for project health & safety management. I was the health & safety supervisor for several chemical plant construction projects which included Bayer expanding project and CNOOC Shell overhaul project as well as Sanmen nuclear construction project and wind generator installation project

Statement of No Conflict

I certify that I have not audited any component of this facility for which I was responsible for design or development; nor have I within the past year been an employee of the facility, its parent company, or associated affiliates. Excluding audits, I have not derived more than 30% of my income within the past 5 years from the facility, its parent, or associated affiliates. I have not participated in more than two consecutive Cyanide Code audits of this facility. I have participated in at least 3 health, safety, and/or environmental audits in the past 7 years and am familiar with standard audit procedures as well as with the protocols developed by the International Cyanide Management Institute for implementation of the Code.

Role	Name	Signature	Date
Lead Auditor	Hongtao Hu	Hongtao Hu	August 4, 2020

Use additional pages if necessary

*The lead auditor's signature must be certified by notarisation or equivalent.

Signed before me. / 胡宏涛本人于律师
in Shanghai. 见证下签名于上海.

Signature of Hongtao Hu with a red square notary seal reading "孙劼 律师印" (Sun Jie, Lawyer Seal).

Date stamp: Aug. 4, 2020, with a red circular notary seal reading "上海恒泰律师事务所" (Shanghai Hengtai Law Firm).