



INTERNATIONAL CYANIDE MANAGEMENT INSTITUTE

AUDITOR CREDENTIALS FORM FOR THE INTERNATIONAL CYANIDE MANAGEMENT CODE

DECEMBER 2022

INTERNATIONAL CYANIDE MANAGEMENT INSTITUTE
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AUDITOR CREDENTIALS FORM

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The International Cyanide Management Code (hereinafter “the Code”, “Code” or “the Cyanide Code”), this document, and other documents or information sources referenced at www.cyanidecode.org are believed to be reliable and were prepared in good faith from information reasonably available to the drafters. However, no guarantee is made as to the accuracy or completeness of any of these other documents or information sources. No guarantee is made in connection with the application of the Code, the additional documents available or the referenced materials to prevent hazards, accidents, incidents, or injury to employees and/or members of the public at any specific site where gold or silver is extracted from ore by the cyanidation process. Compliance with this Code is not intended to and does not replace, contravene or otherwise alter the requirements of any specific national, state or local governmental statutes, laws, regulations, ordinances, or other requirements regarding the matters included herein. Compliance with this Code is entirely voluntary and is neither intended nor does it create, establish, or recognize any legally enforceable obligations or rights on the part of its signatories, supporters or any other parties.



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FACILITY AUDITED

Name of Facility: Australian Gold Reagents Pty Ltd. (AGR)
Date(s) of Audit: 15 March 2023

LEAD AUDITOR

Name of Lead Auditor: Rudi Seebach
Auditor Accreditation/
Certification Number: Certified Lead Auditor in the Environmental Scheme No.
113521: Exemplar Global

ORGANIZATION ACCREDITING LEAD AUDITOR

Accrediting Organization: Exemplar Global
Telephone Number: +61 2 4728 4600
Address: PO Box 7198, Penrith South NSW 2750, Australia
(Street, City, State/Province, Postal Code, Country)
Website Address: <https://exemplarglobal.org/>



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AUDITS CONDUCTED AS LEAD AUDITOR

(Please list the three most recent audits conducted in the past seven years as a Lead Auditor.)

| YEAR | TYPE OF FACILITY / TYPE OF AUDIT | COUNTRY & STATE/PROVINCE |
|------|-------------------------------------|------------------------------|
| 2019 | Distribution/ EHS Compliance | Australia/ Victoria |
| 2020 | Chemical Production/ EHS Compliance | New Zealand/ Auckland |
| 2020 | Chemical Production/ EHS Compliance | Australia/ Western Australia |

TECHNICAL EXPERT AUDITOR EXPERIENCE

(Please see instructions.)

| AUDITOR | YEARS | RELEVANT POSITION TITLES or ROLE | TYPES OF OPERATIONS |
|---|-------|---|--|
| Philip Ashton Principal Ashton Safety Health Environment | 30 | Western Australian Accredited Dangerous Goods Consultant. Responsible for assessment and licensing of gold mines processing gold using sodium cyanide solution and other dangerous goods. For cyanide, assessments undertaken against the Approved Code of Practice - AS4452: The Storage and Handling of Toxic Substances and Dangerous Goods Regulations. Dangerous goods compliance audits and participation in risk assessments at mines and other hazardous premises. Dangerous goods and hazardous substance training, including cyanide awareness. | Gold mines and processing - eg: AngloGold Ashanti/Westgold/Newcrest/ Northern Star/Saracen/Mincor Major Hazard Facilities - eg: Tronox/BHP/Conoco Phillips/Chevron |
| Philip Ashton Principal Ashton Safety Health Environment | 30 | Process safety review of Major Hazard Facilities and other heavy industrial developments. Development of Safety Management Systems and supporting training modules. Provision of ongoing advice in relation to process hazards and design/procedural risk mitigation. Participation in Hazard and Operability Studies and other risk reviews. | Refer above |
| Philip Ashton Manager Environmental Protection Authority (WA) and North Sumatera | 5 | Assessment of proposals for chemical, production, storage and transport, inclusive of cyanide and other proposals in the Kwinana Industrial Area in Western Australia. Undertook audits and inspections of Major Hazard Facilities and other heavy industries. Provision of advice to major industrial developments within a heavy industrial area at Kwinana, Western Australia and North Sumatera, Indonesia. | For Environmental Protection Authority- eg. Alcoa/BHP/BP/Tronox/CSBP |



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STATEMENT OF NO CONFLICT

I certify that I have not audited any component of this facility for which I was responsible for design or development; nor have I within the past year been an employee of the facility, its parent company, or associated affiliates. Excluding audits, assessments or reviews, I have not derived more than 30% of my income within the past five years from the facility, its parent, or associated affiliates. I have not participated in more than two consecutive Cyanide Code Certification Audits of this facility. I have participated in at least three health, safety, and/or environmental audits, assessments or reviews in the past seven years and am familiar with standard audit procedures as well as with the protocols developed by the International Cyanide Management Institute for implementation of the Code.

*Pre-operational Code Certification Audits count as Code Certification Audits for the purpose of the prohibition against individual auditors conducting more than two consecutive Cyanide Code certification audits of the same operation. Pre-operational audits are also counted as Code Certification Audits for the purpose of the prohibition of an audit company conducting a Code Certification Audit of an operation more than three times consecutively.

SIGNATURES

| | | | |
|----------------|----------------------|--|----------------------|
| Lead Auditor*: | <u>Russi SABBACH</u> | <u></u> | <u>13/6/23</u> |
| | Name (Print/Type) | Signature* | Date |
| Auditor 1: | <u>Philip Ashton</u> | <u></u> | <u>23rd May 2023</u> |
| | Name (Print/Type) | Signature | Date |
| Auditor 2: | _____ | _____ | _____ |
| | Name (Print/Type) | Signature | Date |
| Auditor 3: | _____ | _____ | _____ |
| | Name (Print/Type) | Signature | Date |

*The lead auditor's signature must be certified by notarization or equivalent.

NOTARIZATION

Subscribed and sworn (or affirmed) before me this 13 day, JUNE, of 2023
(Day) (Month) (Year)

By 
Name of Signer (Print/Type)

John Oswald Grace
JUSTICE of the Peace
Notary Public for Western Australia
No. 6158



AUDITOR CREDENTIALS FORM

INSTRUCTIONS

The following instructions apply to the Auditor Credentials Form for the Cyanide Code. Please refer to the Code's *Auditor Criteria* document for guidance regarding the International Cyanide Management Institute ("ICMI" or "the Institute") criteria that an auditor must meet to be qualified as a "Lead Auditor" or a "Technical Expert Auditor".

1. Facility Audited

Enter the name of the mine, cyanide production, storage or repackaging facility or transport operation audited for which the Lead Auditor is submitting the Auditor Credentials Form along with the date(s) over which the audit was conducted.

2. Lead Auditor

Enter the name of the Lead Auditor and the auditor's Certification Number issued by the Self-Regulating Professional Organization. The Lead Auditor must be certified as a professional environmental, health or safety auditor by a self-regulating professional organization. Certification as a professional auditor in another discipline is acceptable only if the certification is relevant to auditing the Code and the auditor can demonstrate knowledge of environmental, health or safety issues.

3. Statement of No Conflict

By signing the Auditor's Credential Form, the auditors are certifying that their participation in the audit does not present a conflict of interest under the Code. Please refer to the Code's *Auditor Criteria* document for additional guidance regarding the four prohibitions that apply to all audit team members conducting a Cyanide Code Certification Audit.

4. Certifying Organization

Enter the name, telephone number, mailing address and website address for the self-regulating professional organization by which the Lead Auditor is certified.

5. Minimum Experience

Enter the information requested for the three most recent environmental, health or safety audits conducted as a Lead Auditor in the past seven years, including the year each audit was conducted, the type of facility audited, the type of audit conducted, and the facility location. A Lead Auditor must have organized and/or directed at least three environmental, health or safety audits in the seven years prior to conducting the Code Certification Audit.

6. Cyanide-Related Experience

For audit team members filling the role of technical expert auditors in mining, production or transportation operations, please indicate the seven years necessary experience.



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7. Signatures

Each member of the audit team, including the Lead Auditor, Technical Expert Auditors and other Auditors must sign and date the form (see Item 3. Statement of No Conflict).

8. Notarization

The Lead Auditor's signature must be certified by notarization or equivalent. Both the electronic and hard copy versions of the Auditor Credentials Form must include the notarization (see Item 9. Filing Methods).

9. Filing Methods

Submit an electronic copy of the completed and notarized Auditor Credentials Form to audits@cyanidecode.org AND a hard copy by mail to 1400 I Street, NW, Suite 550, Washington, DC 20005 USA.





AUDIT PERSONNEL NOTES

The field component of the audit was completed on 15 March 2023. The audit was undertaken by Rudi Seebach (Lead Auditor), Mike Woods (Lead Auditor) and Phil Ashton (Technical Specialist).

Michael Woods initiated and conducted most of the audit for the Australian Gold Reagents production facility as the Lead Auditor. Mike Woods resigned from WSP before the audit was complete and was therefore unavailable to complete the audit and sign the documentation.

Rudi Seebach assumed the responsibility as Lead Auditor following the resignation of Mike Woods. Rudi Seebach completed the audit and audit report as Lead Auditor and submitted the finalized audit report on behalf of WSP Golder. Rudi Seebach is an approved International Cyanide Management Institute Lead Auditor for Cyanide Code certification audits.

No potential conflicts of interest were anticipated or encountered during the Audit that necessitated the requirement for an independent Auditor.