



## ICMI AUDITOR CREDENTIALS – HONGTAO HU

### INTERNATIONAL CYANIDE MANAGEMENT INSTITUTE

### AUDITOR CREDENTIALS FORM

**FACILITY AUDITED: ANQING SHUGUANG SUPPLY, SALES AND TRANSPORTATION CO., LTD. CYANIDE TRANSPORTER**

**DATE: JUNE 2023**

### AUDITOR CREDENTIALS

Auditor: Hongtao Hu (Certified Safety Engineer)

Auditor Certification Number: 150928280400648

Registration Number: 31180190358

Certifying Organisation: State Work Administration of Work Safety

Telephone Number: +86 12350

Address: Suit 10016-1011, 333 Nanjing Road (W), Shanghai, China

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Year	Type of Facility, Type of Audit	Country & State/Province
<i>Transportation - International Cyanide Code Certification Audits</i>		
2023	Lead Auditor for Anqing Shuguang Supply, Sales and Transportation Co., Ltd. Transportation Re-certification Audit	Anhui Province, China
2022	Lead Auditor for Hebei Chengxin Turkey e Supply Chain Re-certification	Turkey
2020	Lead Auditor for Hebei Chengxin Global Marine Supply Chain Re-certification	Global
2019	Auditor for Anqing Shuguang Supply, Sales and Transportation Co., Ltd. Transportation Re-certification Audit	Anhui Province, China
2019	Auditor for Hebei Chengxin Transport China Supply Chain	Hebei Province, China
2018	Auditor for Hebei Chengxin Marine Supply Chain	Global
2014	Auditor for Anhui Anqing Shuguang Chemical Co Ltd. Kazakhstan and Kyrgyzstan Supply Chain	Xinjiang, China
<i>Production - International Cyanide Code Certification Audits</i>		
2023	Lead Auditor for Anhui Anqing Shuguang Chemical Co Ltd. Production Recertification Audit	Anhui Province, China

<b>Year</b>	<b>Type of Facility, Type of Audit</b>	<b>Country &amp; State/Province</b>
2022	Lead Auditor for Guang'an Chengxin Production Initial Certification Audit	Sichuan Province, China
2022	Lead Auditor for Inner Mongolia Chengxin Yong'an Production Initial Certification Audit	Inner Mongolia, China
2019	Auditor for Anhui Anqing Shuguang Chemical Co Ltd. Production Recertification Audit	Anhui Province, China
2018	Auditor Hebei Chengxin Co Ltd Production Recertification Audit	Hebei Province, China
<i>Mining - International Cyanide Code Certification Audits</i>		
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<i>Code Equivalent Audits &amp; HSE Assessments</i>		
2023	Lead auditor for two coal mines of Stora Enso' suppliers in EHS management system and labour management systems.	China Shanxi Province, Inner Mongolia
2022	Lead auditor for Stora Enso's supplier in environment, health and safety (EHS) management system and labour management system.	China
2021	Lead auditor for two ports and transportation companies of Stora Enso' suppliers in environment, health and safety (EHS) management system and labour management system.	China, Shanghai, Guangxi Province
2021	Internal Lead auditor for Afton Chemical Plant in ISO 45001 and ISO 14001 management system	China, Jiangsu Province, Suzhou City
2021	Site EHS representative for Afton Chemical Plant in EHS management	China, Jiangsu Province, Suzhou City
2020	Lead auditor for Kemira Chemical Plant in EHS management system.	China, Shandong Province, Yanzhou City
2020	Lead auditor for the Sites of ExxonMobil, Novartis, Merck, Sanofi, Celestica, HCT, Lutra, CSM, Novasep in EHS management system.	China

<b>Year</b>	<b>Type of Facility, Type of Audit</b>	<b>Country &amp; State/Province</b>
2020	Safety Laws and Regulations training for the EHS team of Merck Asia; Emergency Response Management training for Novartis team in Changshu Plant	China, Jiangsu Province, Changshu City
2019	Lead auditor for two coal mines of Stora Enso' suppliers in EHS management system and labour management systems.	China Shanxi Province, Inner Mongolia
2019	Lead auditor for nine sites of Metro, Mattel of EDD; Lead auditor for six sites of Stora Enso's supplier, Leybold Pump Manufactory, Mader Chemical, IPL Plastics Injecting Plant, Arctis and Novasep's supplier Pharmacy Plant of EHS compliance audit.	China
2019	Safety Training system review and updated. Delivery of revised training package covering chemical management about fire safety, waste disposal, safety rules for usage, transportation and storages to Siemens and Delphi manufactory.	China
2018	Lead auditor for three coal mines of Stora Enso's supplier in EHS management system and labour management systems.	China Shanxi Province, Inner Mongolia
2018	Lead auditor for eleven sites of Stora Enso's supplier, CSL BEHRING pharmacy, Knauf Manufactory, Exxonmobil Chemical, Sanofi Pharmacy and Infineum Chemical of EHS compliance audit.	China
2017	Lead audit for twelve sites of Bosh manufactory, Autoneum manufactory, Hybio Pharmacy, Luxottica Manufactory, Imerys Chemical, Nemak Manufactory, Novartis Pharmacy, Magna Manufactory, Celestica Manufactory of EHS compliance audit	China Japan
2017	Safety training in chemical management covering fire safety, waste disposal and safety rules for usage, transportation and storage for Exxonmobil Chemical.	Suzhou, China
2016	Part of three persons EHS system audit team for Syngenta Chemical, China National Offshore Oil Corporation (CNOOC), Lanxess Chemical and Shell.	China
2016	Safety training in chemical management including fire safety, waste disposal and safety rules for usage, transportation and storage for MAHLE Group	Nanjing, China
2015	Part of three persons EHS system audit team for CNOOC, Huawei, Lanxess Chemical.	China

Year	Type of Facility, Type of Audit	Country & State/Province
2014	Part of three persons auditor team for GE Manufactory, Shell and SPX Manufactory.	China

### Cyanide and Operational Related Experience

Each auditor must have at least 3 years; at least one auditor must have at least 7 years experiences.

Operation	Years	Relevant Position Titles	Types of Operations
WSP-Golder	6	Safety Service Lead	<p>Since re-join Golder in the position of Safety Service Leader in 2017, I have provided safety and environmental consulting services for chemical, pharmaceutical, oil and gas industries. My time with chemical industry has largely been spent with EHS compliance auditing, gap analysis and EHS systems improvement.</p> <p>I have also been involved with Code auditing (code equivalent audits and certification audits) of transportation and production within China in 2018 and 2019. I have also been the lead auditor for Hebei Chengxin Global Marine Supply Chain Re-certification audit. In addition to auditing, I have developed and delivered cyanide management improvement case and training for production and transport operations. I have spent at least 20% of my time each year working in issues related to handling hazardous materials including production, transport and use.</p> <p>The remainder of my time has been spent consulting to chemical and manufacturing industries on EHS management including hazardous materials management. The development of management procedures, systems and processes to facilitate the safe usage, transportation, storage and disposal of hazardous materials as well as chemical management training to clients.</p>
SOIL Environmental	2	EHS manager	<p>As the EHS Manager at SOIL Environmental I was responsible for the conduct of EHS audits, training, and the development, implementation and improvement of EHS systems.</p> <p>In addition to the core functional role, I also conducted numerous EHS Manager consulting projects for chemical plants and manufactory industries which included Shell, CNOOC, Lanxess Chemical and Syngenta Chemical.</p>
Fosbel	1	Health & Safety	I was responsible for EHS management system maintenance, EHS culture promotion, supplier EHS

Operation	Years	Relevant Position Titles	Types of Operations
		Manager (China)	management, formulated the training about Permit to Work Systems, Confined Space Entry, Atmospheric Testing, etc.
Golder	2	EHS Consultant	After joining Golder, I have provided EHS inspection, training and audit services for manufactory industry, chemical and oil and gas industries. I have also been involved with Code auditing (code equivalent audits and certification audits) of supply chain in China and Kazakhstan in 2014. I have spent at least 20% of my time each year working in issues related to handling hazardous materials including production and transport.
China Nuclear Industry Fifth Construction CO., Ltd	3	Health & Safety Supervisor (Projects)	Safety Supervisor for project health & safety management. I was the health & safety supervisor for several chemical plant construction projects which included Bayer expanding project and CNOOC Shell overhaul project as well as Sanmen nuclear construction project and wind generator installation project.

**Statement of No Conflict**

I certify that I have not audited any component of this facility for which I was responsible for design or development; nor have I within the past year been an employee of the facility, its parent company, or associated affiliates. Excluding audits, I have not derived more than 30% of my income within the past 5 years from the facility, its parent, or associated affiliates. I have not participated in more than two consecutive Cyanide Code audits of this facility. I have participated in at least 3 health, safety, and/or environmental audits in the past 7 years and am familiar with standard audit procedures as well as with the protocols developed by the International Cyanide Management Institute for implementation of the Code.

Lead Auditor Name	Signature	Date
Hongtao Hu	Hongtao Hu	Jun 6, 2023



Use additional pages if necessary

\*The lead auditor's signature must be certified by notarisation or equivalent.

Notary Public: Qi Men  
 QI MEN  
 NOTARY PUBLIC OF NEW JERSEY  
 Commission # 50149084  
 My Commission Expires 1/20/2026

State of New Jersey  
 County of Hudson,

I, Qi Men, acknowledged the foregoing instrument by means of physical presence of online notarization on June 6th, 2023 by Hongtao Hu, who is personally know to me, or has produced a valid driver's license or passport as identification.